

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

19261

In Re Application Of: Christine Meyer, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/554,896	October 28, 2005	Unassigned	23389	Unassigned	Unassigned

Title:

LUMINESCENT CORE/SHELL NANOPARTICLES

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of _____
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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January 24, 2006

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Leopold Presser

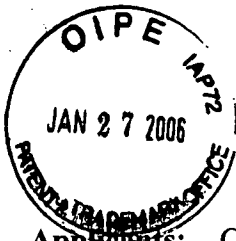
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Dated: January 24, 2006

Leopold Presser
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Christine Meyer, et al.

Examiner: Unassigned

Serial No: 10/554,896

Group Art Unit: Unassigned

Filed: October 28, 2005

Docket: 19261

For: LUMINESCENT CORE/SHELL
NANOPARTICLES

Dated: January 24, 2006

Confirmation No.: Unassigned

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case:

1. U.S. Publication No. US 2001/0024088 A1, dated September 27, 2001, issued to Justel, et al.;
2. U.S. Publication No. US 2003/0017264 A1, dated January 23, 2003, issued to Treadway, et al.;
3. U.S. Publication No. US 2003/0032192 A1, dated February 13, 2003, issued to Haubold, et al.;
4. U.S. Patent No. 6,207,229 B1, dated March 27, 2001, issued to Bawendi, et al.;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on January 24, 2006.

Dated: January 24, 2006

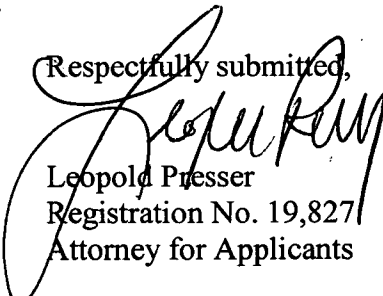

Leopold Presser

5. European Document No. EP 1 076 084 A2, dated April 5, 1994;
6. German Document No. DE 101 31 173 A1, dated June 29, 2001;
7. PCT International Publication No. WO 00/38282, dated June 29, 2000;
8. Farmer, et al., "Photoluminescent Polymer/Quantum Dot Composite Nanoparticles" (XP-001108765), *Chem. Mater.* 2001, 13, Pages 3920-3926; and
9. Haase, et al., "Synthesis and Properties of Colloidal Lanthanide-Doped Nanocrystals", *Journal of Alloys and Compounds*, 303-304 (2000), Pages 191-197.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references. Accordingly, applicants are only enclosing herewith a copy of the five (5) non-U.S. patent references cited herein.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,


Leopold Presser
Registration No. 19,827
Attorney for Applicants

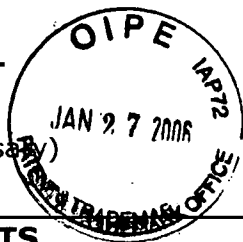
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LP:jy

Enclosures: PTO-1449 Form and copies of the five (5) non-U.S. references cited

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE
(REV. 7-80) PATENT AND TRADEMARK OFFICE**LIST OF REFERENCES
CITED BY APPLICANT**

(Use several sheets if necessary)

**Atty. Docket No.**
19261**Serial No.**
10/554.896**Applicant**
Christine Meyer, et al.**Filing Date**
October 28, 2005**Group**
Unassigned**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
/H.L./	AA	2001/0024088 A1	September 27, 2001	Justel, et al.			
/H.L./	AB	2003/0017264 A1	January 23, 2003	Treadway, et al.			
/H.L./	AC	2003/0032192 A1	February 13, 2003	Haubold, et al.			
/H.L./	AD	6,207,229 B1	March 27, 2001	Bawendi, et al.			
	AE						
	AF						
	AG						
	AH						
	AI						

		Foreign Document Number	Date	Country	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/H.L./		EP 1 076 084 A2	April 5, 1994	Europe				X
/H.L./		WO 00/38282	June 29, 2000	PCT			X	
/H.L./		DE 101 31 173 A1	June 29, 2001	Germany			*X	

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/H.L./		Farmer, et al., "Photoluminescent Polymer/Quantum Dot Composite Nanoparticles" (XP-001108765), <i>Chem. Mater.</i> 2001, 13, Pages 3920-3926; and
/H.L./		Haase, et al., "Synthesis and Properties of Colloidal Lanthanide-Doped Nanocrystals", <i>Journal of Alloys and Compounds</i> , 303-304 (2000), Pages 191-197.

EXAMINER /Hoa Le/**DATE CONSIDERED** 06/22/2008* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.* **Partial Translation****Sheet 1 of 1**